

Max Webinar

BigDFT

*Approach to Large Scale Systems with BigDFT;  
from Ground State to Electronic Excitations*

Laura Ratcliff

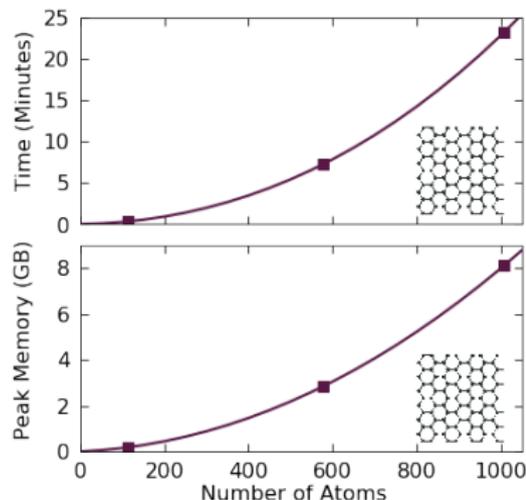
Imperial College London

November 12, 2020

Virtual Room

## Size Limitations

- $\sim 1000$  atoms thanks to wavelet properties and efficient **parallelization**
- for bigger systems  $O(N^3)$  dominates in time and memory
- $\rightarrow$  need **new approach**



## Large Systems

Linear Scaling BigDFT

Fragment Approach

OLED Application

TADF and Excited States

## Nearsightedness

- the behaviour of large systems is **short-ranged**
- the density matrix,  $\rho(\mathbf{r}, \mathbf{r}')$ , **decays exponentially** in systems with a gap
- $\rightarrow$  can we exploit nearsightedness in large systems?

## Support Functions (SFs)

write KS orbitals as linear combinations of SFs  $\phi_\alpha(\mathbf{r})$ :

$$\Psi_i(\mathbf{r}) = \sum_{\alpha} c_i^{\alpha} \phi_{\alpha}(\mathbf{r})$$

- **localized** ( $\sim 6 - 8 a_0$  radius)
- atom-centred
- **minimal** – 1 SF per H, 4 per C/N/O...
- numerical functions – expanded in wavelets
- quasi-orthogonal
- $\Gamma$ -point only – real

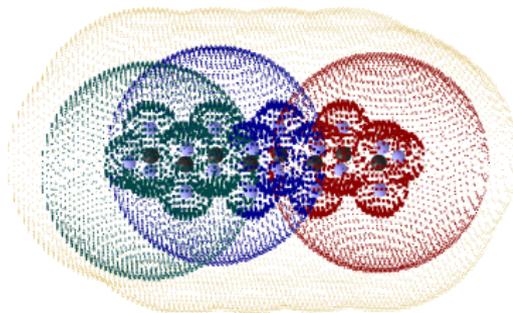
## Density Kernel ( $\mathbf{K}$ )

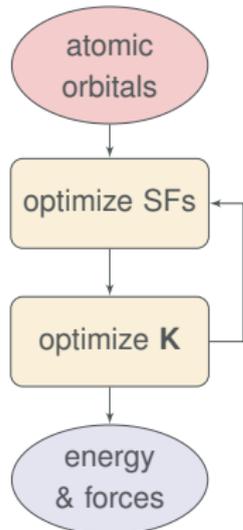
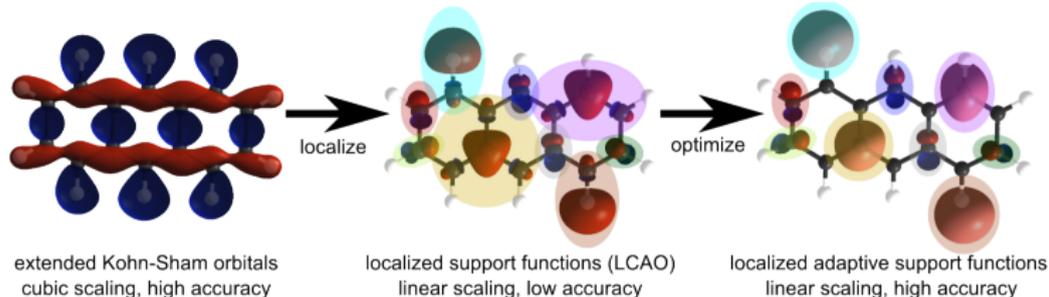
define the density matrix  $\rho$ :

$$\begin{aligned} \rho(\mathbf{r}, \mathbf{r}') &= \sum_i f_i |\Psi_i(\mathbf{r})\rangle \langle \Psi_i(\mathbf{r}')| \\ &= \sum_{\alpha, \beta} \left| \phi_{\alpha}(\mathbf{r}) \right\rangle K^{\alpha\beta} \left\langle \phi_{\beta}(\mathbf{r}') \right| \end{aligned}$$

$$H_{\alpha\beta} = \langle \phi_{\alpha} | \hat{H} | \phi_{\beta} \rangle; \quad S_{\alpha\beta} = \langle \phi_{\alpha} | \phi_{\beta} \rangle$$

$$E = \text{Tr}(\mathbf{K}\mathbf{H}); \quad N = \text{Tr}(\mathbf{K}\mathbf{S})$$



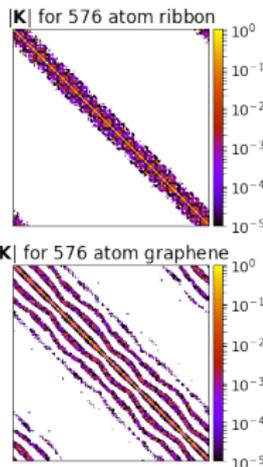
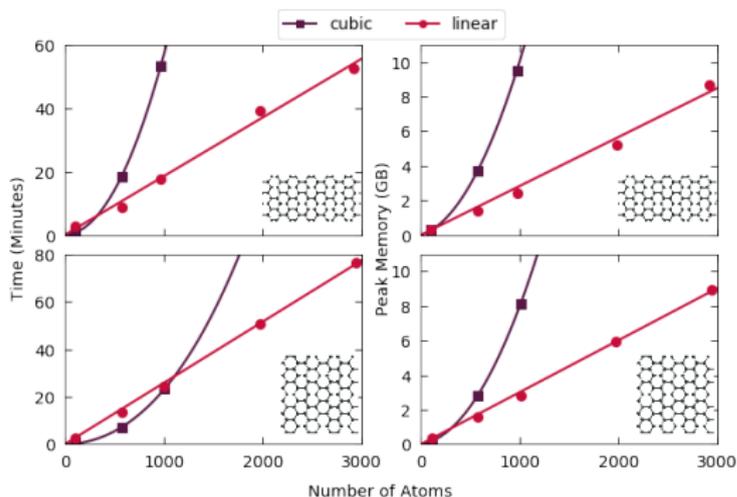


## Accurate Minimal Basis

- minimize energy wrt **both SFs and kernel** (subject to constraints)
- SFs **adapt** to the environment – minimal, localized basis with wavelet accuracy
- 3 methods for **K** – Fermi Operator Expansion for  $\mathcal{O}(\mathcal{N})$ , direct minimization (virtual states), diagonalization
- forces – geometry optimizations, MD

## Sparse Matrices

- strict localization leads to sparse matrices ( $\mathbf{K}$  truncation)
- crossover depends on size, dimensionality, SF radii. . .
- speed also depends on band gap (can treat metals)

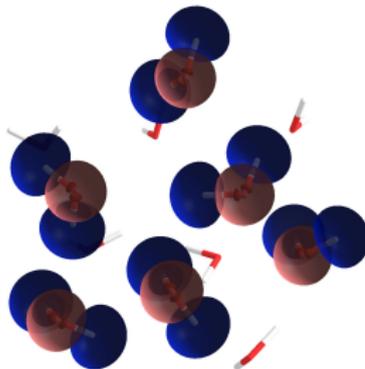


LS-BigDFT: Mohr *et al.*, J. Chem. Phys. **140**, 204110 (2014); Mohr *et al.*, Phys. Chem. Chem. Phys. **17**, 31360 (2015)

**Metals:** Mohr *et al.*, J. Nucl. Mater. Energy **15**, 64 (2018)

## Calculation Bottleneck

- SF optimization dominates prefactor
- **similar chemical environments** → similar SFs
- can we reuse SFs?



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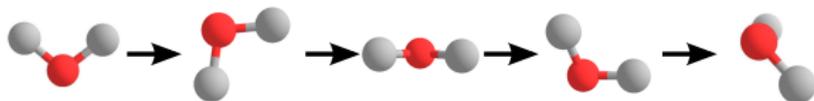
TADF and Excited States

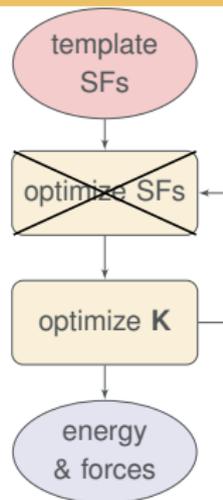
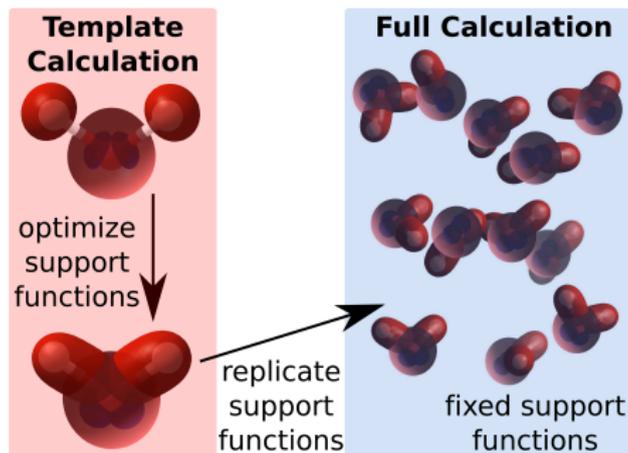
## Accounting for Varying Orientations and Positions

- minimize cost function to find **rotation** from template:

$$J(\mathcal{R}) = \frac{1}{N} \sum_{a=1}^N \left\| \mathbf{R}_a^S - \sum_{b=1}^N \mathcal{R}_{ab} \mathbf{R}_a^T \right\|^2$$

- apply accurate and efficient **wavelet interpolation** scheme



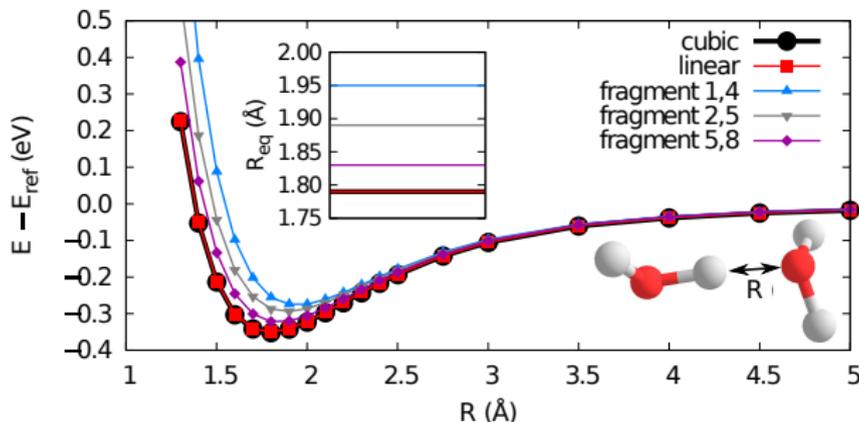


## Calculation Steps

- **template calculation:** optimize SFs for isolated fragment
- **reformatting:** replicate and rototranslate template SFs for each fragment instance
- **full calculation:** use fragment SFs as a fixed basis, optimizing density kernel only

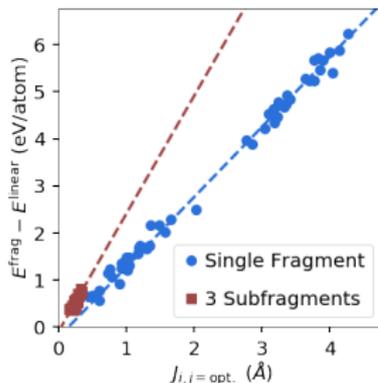
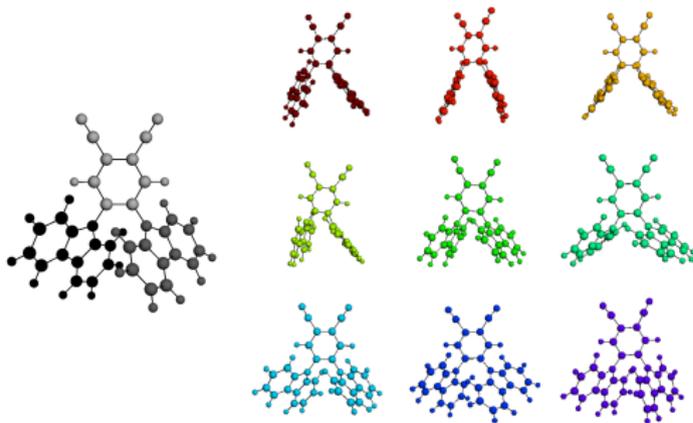
## Effect of Fragment Interactions – Water Dimer

- basis set superposition error at small distances
- increase basis to improve accuracy
- applicability depends on quantity of interest
- → suited to **weakly interacting** fragments



## Effect of Distortions – 2CzPN

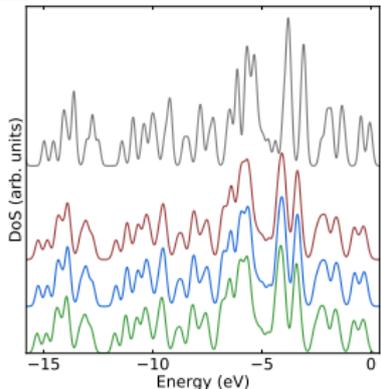
- use optimized molecule as template
- more distorted fragments  $\rightarrow$  larger error
- cost function  $J$  used to predict accuracy
- $\rightarrow$  suited to fragments which are **not too distorted**



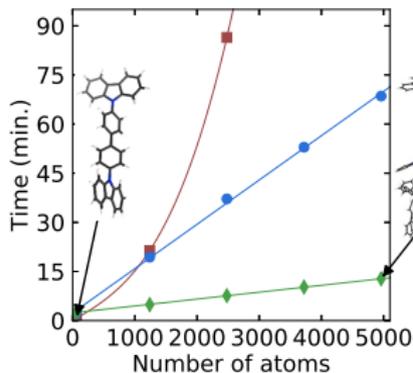
## Cluster of Rigid CBP Molecules

- $E_{\text{frag}} - E_{\text{cubic}} \simeq 30 \text{ meV/atom}$
- fragment approach reproduces (occupied) DoS
- $\sim 5000$  atom single point calculation (48 nodes on Archer) – fragment approach  $\sim 7\times$  cheaper than full linear scaling

— 1 mol. (cubic) — cubic — linear — fragment

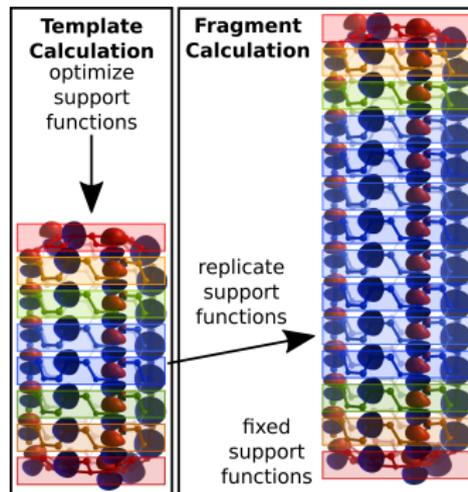
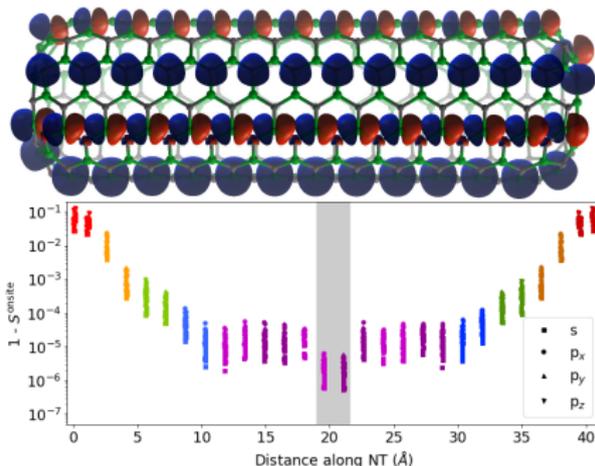


■ cubic ● linear ◆ fragment



## Finite SiC Nanotube

- use  $J$  and onsite overlap matrix to inform setup
- optimize SFs in embedded pseudo-fragments
- also applied to defective graphene



Ratcliff and Genovese, J. Phys.: Condens. Matter **31**, 285901 (2019)

Ratcliff and Genovese, In: E. Levchenko, Y. Dappe, G. Ori. (Eds), Springer Series in Materials Science, vol. 296 (2020)

## Simulating Charge Transport

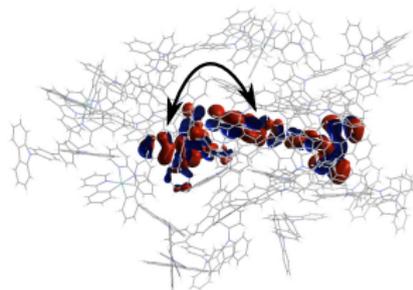
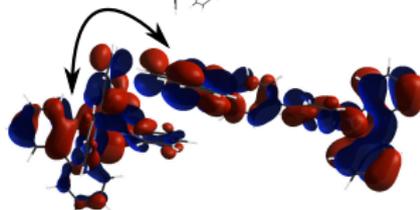
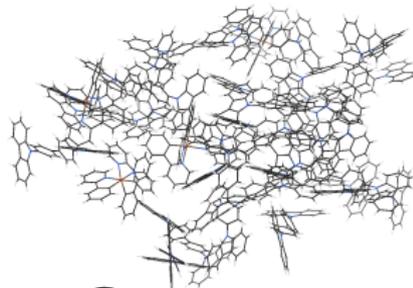
need to calculate parameters like transfer integrals in a disordered host-guest material

## Typical Procedure

extract pairs of molecules from morphology and calculate transfer integrals for each pair

## Environmental Effects

BUT the environment can affect parameters – need **large systems**



Large Systems

Linear Scaling BigDFT

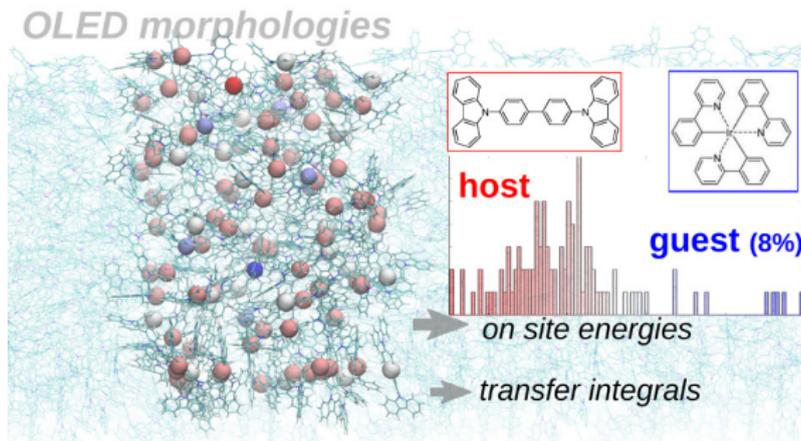
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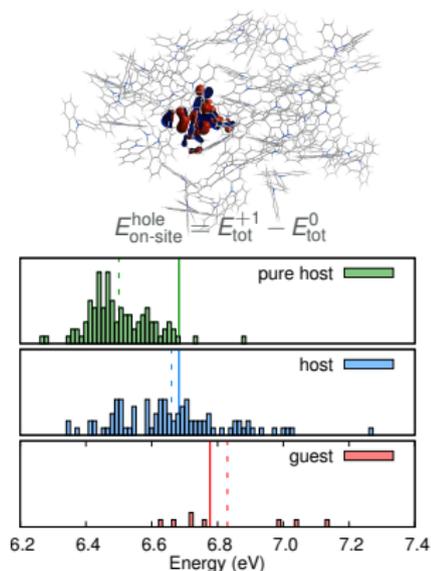
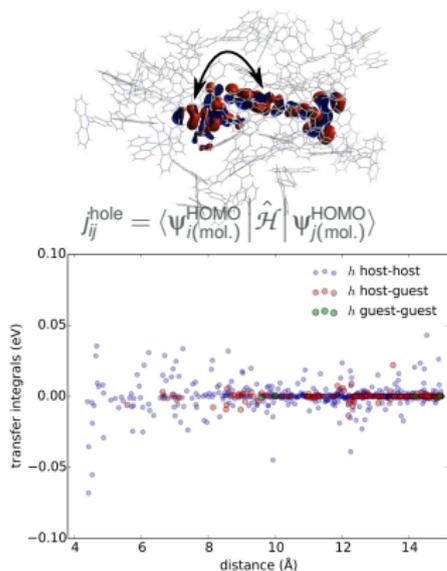
## Host-Guest OLED Morphology

- CBP doped with  $\text{Ir(ppy)}_3$  ( $\sim$  6200 atoms)
- Metropolis Monte Carlo with simulated annealing of rigid molecules (mimic physical vapor deposition)
- use constrained DFT to introduce net confined charge  $\rightarrow$  **polarization effects** for on-site energies



## Environmental and Statistical Effects

- disorder** → dispersion in  $E_{\text{on-site}}$  and  $J_{ij}$
- environment** → shift in  $E_{\text{on-site}}$  (---) cf. isolated molecule (—)



Ratcliff *et al.*, J. Chem. Theory Comput. **11**, 2077 (2015)

Large Systems

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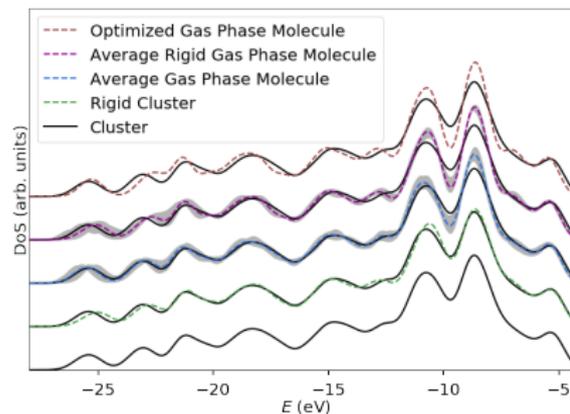
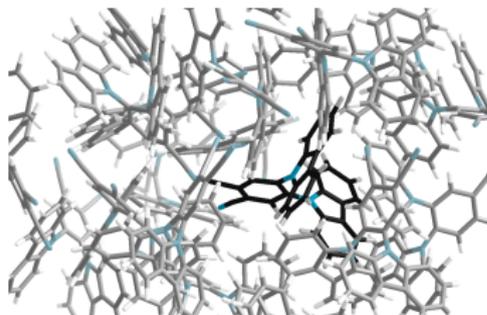
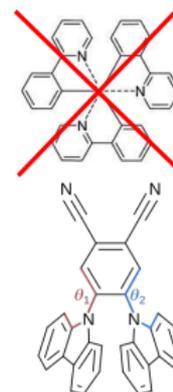
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## Ground State of 2CzPN

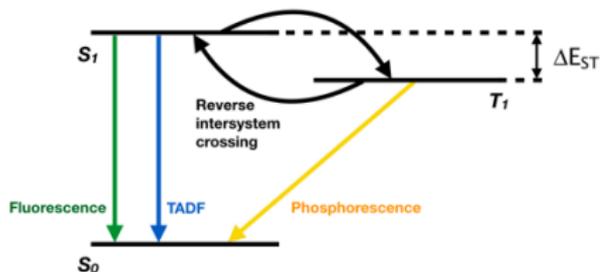
- current OLEDs rely on Ir, Pt → unsustainable
- thermally activated delayed fluorescence – **efficient** and purely **organic**, e.g. 2CzPN
- 50 molecule cluster extracted from MD run



MD snapshot: Olivier *et al.*, Phys. Rev. Mater. **1**, 075602 (2017)

## Beyond the Ground State

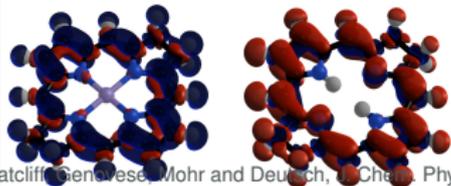
- need small  $\Delta E_{ST}$
- mix of **charge transfer** and **local excitations**



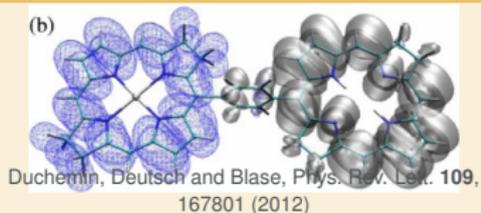
## CDFT and CT Excitations

- TDDFT – expensive, issues with long range CT
- CDFT – cheap, can treat long range CT excitations

## CDFT



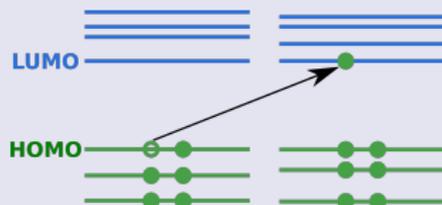
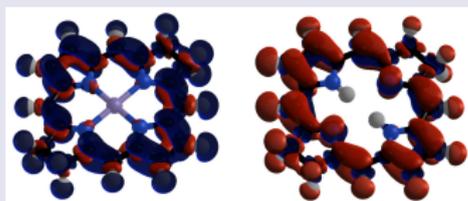
## MBPT



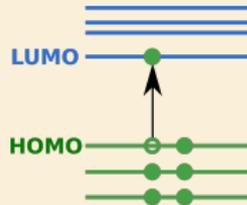
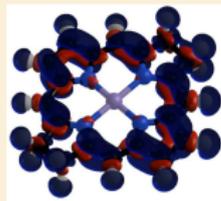
## Charge Transfer vs. Localized Excitations

- CT states – can use (fragment based) **spatial** constraint
- LE states – impose a constraint between **orbitals**

### CT – Spatial Constraint

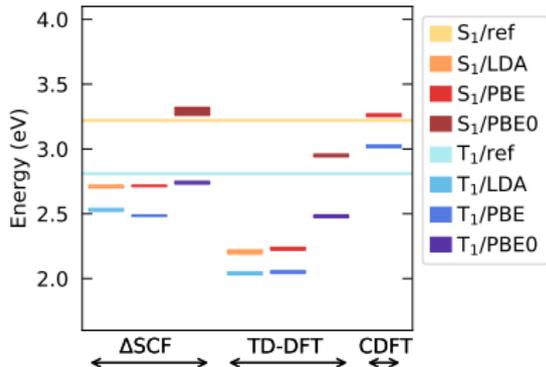


### LE – Orbital Constraint



## Vertical Excitations

- assume pure transition (HOMO→LUMO only)
- use cubic scaling wfns as basis
- compare excited state approaches with CDFT/PBE
- reference – TDA with tuned range separated functional



	vertical		adiabatic
	S <sub>1</sub>	$\Delta E_{ST}$	$\Delta E_{ST}$
<b>2CzPN</b>			
CDFT/PBE	3.26	0.24	-
TDDFT/PBE	2.23	0.18	-
TDA/RS <sup>1</sup>	3.22	0.41	0.24
exp. <sup>2</sup>	3.19	-	0.31

1. Sun, Zhong and Brédas, *J. Chem. Theory Comput.* **11** 3851 (2013)

2. Huang *et al.*, *J. Chem. Theory Comput.* **9** 3872 (2013)

Stella, Thapa, Genovese and Ratcliff, in preparation (2020)

## Across Lengthscales

- extended orbitals  $\rightarrow O(N^3)$
- exploit locality  $\rightarrow O(N)$
- exploit repetition  $\rightarrow \downarrow \text{cost } O(N)$
- larger systems  $\rightarrow$  increasing complexity
- $\rightarrow$  how to treat **complex systems**?

