

Quasi-particles and excitons using Yambo

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Outline

- Why Yambo?



- From DFT to post-DFT (MBPT)

- Main implemented equations for QP and excitons: *GW* and *BSE*

- Examples of (my) recent research works done using Yambo

Why Yambo? Motivations & goals

Optoelectronics
Photovoltaics
Photocatalysis...

Goals :

- interpret spectroscopy data/experiments
- Find key parameters to improve devices performance w/o trial&error
- Predict new materials excited-state properties

Parameter-free
quantum-mechanical
theories
DFT + post-DFT
(MBPT/TDDFT)

Need to describe ground & excited-state properties (gaps, band-offsets, optical spectra..) in materials of different dimensionality

DFT

Kohn-Sham Equations

$$H_0(r)\varphi_{\text{KS}}(r) + v_{\text{xc}}(r)\varphi_{\text{KS}}(r) = \varepsilon_{\text{KS}}\varphi_{\text{KS}}(r)$$



Ground-state properties
KS gaps underestimate
the real QP ones



✓ Quantum-espresso / p2y

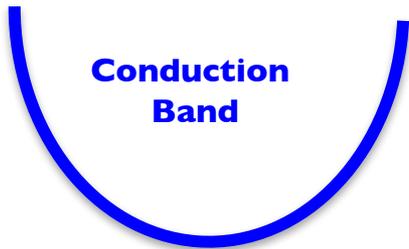
✓ Abinit / a2y

DFT and post-DFT (MBPT) simulations

DFT

Kohn-Sham Equations

$$H_0(r)\varphi_{KS}(r) + v_{xc}(r)\varphi_{KS}(r) = \epsilon_{KS}\varphi_{KS}(r)$$

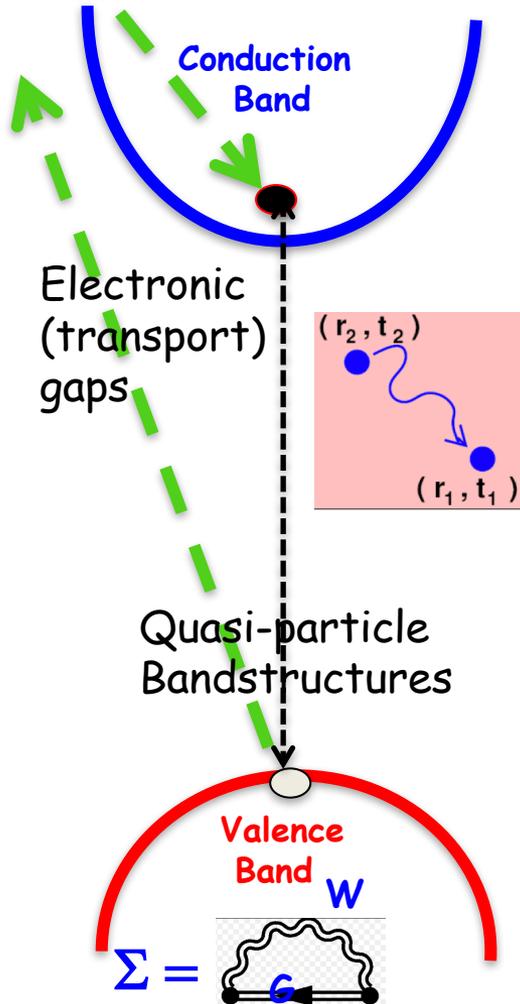


Ground-state properties
KS gaps underestimate
the real QP ones



GW method

$$\epsilon_i^{QP} \approx \epsilon_i^{KS} + \langle \varphi_i^{KS} | \Sigma(\epsilon_{nk}^{KS}) - V_{xc} | \varphi_i^{KS} \rangle$$



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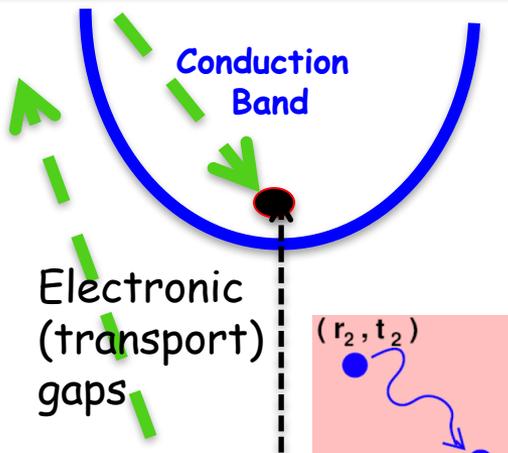


Ground-state properties
KS gaps underestimate
the real QP ones



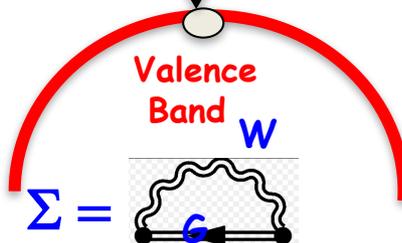
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PES, IPES, ARPES, STS

Quasi-particle
Bandstructures



DFT and post-DFT (MBPT) simulations

DFT

Kohn-Sham Equations

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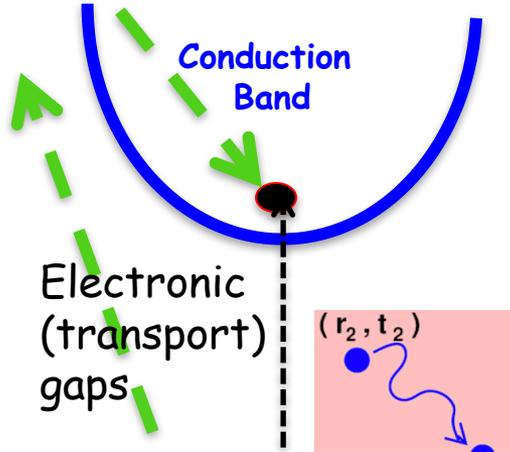
Ground-state properties
KS gaps underestimate
the real QP ones



Yambo

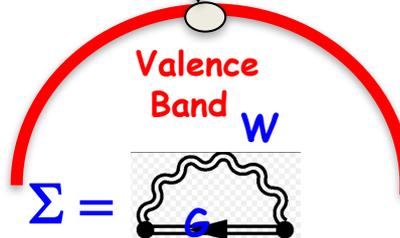
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PES, IPES, ARPES, STS

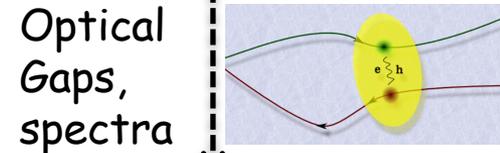
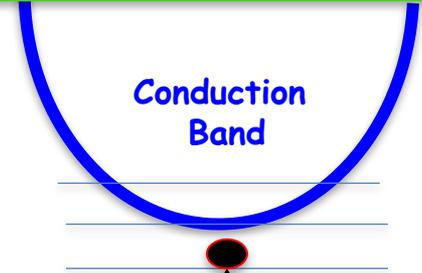
Quasi-particle
Bandstructures



Bethe-Salpeter Equation (BSE)

$$[H_{el} + H_{hole} + H_{el-hole}]A_\lambda = E_\lambda A_\lambda$$

$$Abs(\omega) \propto \sum_\lambda \left| \sum_{vc} A_\lambda^{(vc)} \langle v|D|c \rangle \right|^2 \delta(E_\lambda - \omega)$$



A_λ = excitonic eigenfunctions
 E_λ = excitonic Eigenvalues

DFT and post-DFT (MBPT) simulations

DFT

Kohn-Sham Equations

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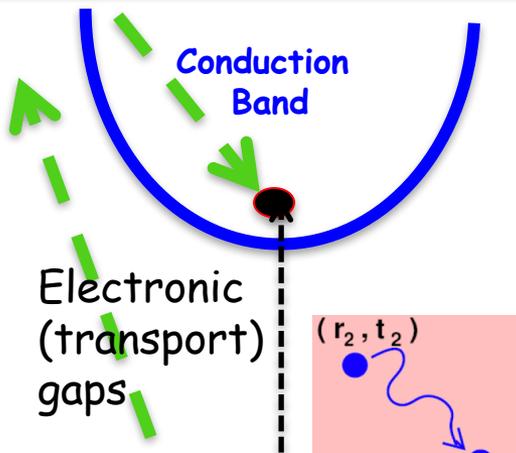


Ground-state properties
KS gaps underestimate
the real QP ones



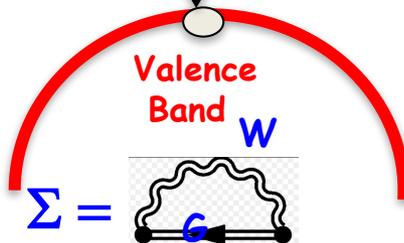
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PES, IPES, ARPES, STS

Quasi-particle
Bandstructures



Bethe-Salpeter Equation (BSE)

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$$Abs(\omega) \propto \sum_\lambda \left| \sum_{vc} A_\lambda^{(vc)} \langle v|D|c \rangle \right|^2 \delta(E_\lambda - \omega)$$

ABSORPTION,
REFLECTIVITY, EELS, ...

Optical
Gaps,
spectra

Valence Band

A_λ = excitonic eigenfunctions
 E_λ = excitonic Eigenvalues

Quasi-particles with Yambo

yambo -g n

DysSolver= "n" First order expansion around KS eigenvalue

$$E_{nk}^{QP} = \epsilon_{nk} + Z_{nk} \langle \psi_{nk} | \Sigma(\epsilon_{nk}) - V_{xc} | \psi_{nk} \rangle \quad Z_{nk} = \left[1 - \left. \frac{d\Sigma_{nk}(\omega)}{d\omega} \right|_{\omega=\epsilon_{nk}} \right]^{-1}$$

Quasi-particles with Yambo

yambo -g n

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$$\Sigma^{GW} = GW = \underbrace{Gv}_{\Sigma^X} + \underbrace{G(W - v)}_{\Sigma^C}$$

Exchange-part of the self-energy

Correlation-part of the self-energy

Quasi-particles with Yambo

yambo -g n

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$$\Sigma^{GW} = GW = \boxed{Gv} + \boxed{G(W - v)}$$

Exchange-part of the self-energy Σ^X Correlation-part of the self-energy Σ^C

- ✓ GoWo from DFT-KS eigenvalues, eigenfunctions
- ✓ e- GWo GWoIter Self-consistent cycles updating only energies
- ✓ e- GW GWIter

- ✓ Collinear (no-spin/spin polarized calculations)
- ✓ Non collinear (when SOC is important)
- ✓ Start from LDA/GGA (QE, abinit)
- ✓ Hybrids from QE

GW corrections with Yambo

Exchange

yambo -x

Very fast!

$$\sum_{nk}^X - V_{nk}^{XC}$$

$$\rho_{nm}(\mathbf{k}, \mathbf{q}, \mathbf{G}) = \langle n\mathbf{k} | e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} | m\mathbf{k}-\mathbf{q} \rangle$$

$$- \sum_m^{\text{occ}} \int_{BZ} d\mathbf{q} \sum_{\mathbf{G}} v(\mathbf{q}+\mathbf{G}) |\rho_{n,m}(\mathbf{k}, \mathbf{q}, \mathbf{G})|^2$$

GW corrections with Yambo

Exchange

yambo -x

$$\sum_{nk}^X - V_{nk}^{XC}$$

$$\rho_{nm}(\mathbf{k}, \mathbf{q}, \mathbf{G}) = \langle n\mathbf{k} | e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}} | m\mathbf{k}-\mathbf{q} \rangle$$

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Correlation

$$\sum_{nk}^C$$

$$\sum_m \int_{BZ} d\mathbf{q} \sum_{\mathbf{G}, \mathbf{G}'} v(\mathbf{q}+\mathbf{G}) \dots \int d\omega' \epsilon_{G, G'}^{-1}(\mathbf{q}, \omega')$$

- ✓ Terminators for sum over m
(F.Bruneval X. Gonze PRB 78 2008)

- ✓ Plasmon-Pole (PPA)
- ✓ Fully integration over ω'
- ✓ Restarting during dielectric screening calculation

Very time consuming!

Parallelization/HPC Helps a lot. .. See Andrea Ferretti talk!

- ✓ Random Integration Method (RIM)
- ✓ Coulomb-cutoff for non-periodic systems

In transition space

$$H_{t,t'}^{exc} = \Delta E_t^{QP} \delta_{t,t'} + f_t(v - W)_{t,t'}$$

yambo -o b -k sex

BSE simulations with Yambo

In transition space

yambo -o b -k sex

$$H_{t,t'}^{exc} = \Delta E_t^{QP} \delta_{t,t'} + f_t(v - W)_{t,t'}$$

Resonant

coupling

$$\begin{pmatrix} [\Delta E + (v - W)]_{cv,c'v'} & (v - W)_{cv,v'c'} \\ (v - W)_{vc,c'v'} & [\Delta E + (v - W)]_{vc,v'c'} \end{pmatrix}$$

coupling

Anti-Resonant

✓ **No-TDA**

full matrix : molecules

Energy Loss

BSE simulations with Yambo

In transition space

yambo -o b -k sex

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coupling **Anti-Resonant**

✓ **No-TDA**

full matrix : molecules
Energy Loss

✓ **TDA**

Coupling neglected: extended
systems, optics

BSE simulations with Yambo

In transition space

yambo -o b -k sex

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coupling

Anti-Resonant

✓ **No-TDA**

full matrix : molecules
Energy Loss

✓ **TDA**

Coupling neglected: extended
systems, optics

- ✓ **Collinear** non spin-polarized, spin polarized systems
- ✓ **Non collinear** (perturbative/non perturbative SOC size 4 x4 w/o)
- ✓ Q=0 excitons
- ✓ Finite Q excitons

$$\epsilon_M(\omega) = (I\omega - H^{\text{exc}})_{t,t'}^{-1}$$

yambo -y h

$$\epsilon_M(\omega) = (I\omega - H^{\text{exc}})_{t,t'}^{-1}$$

Diagonalization

$$H_{t,t'}^{\text{exc}} A_{\lambda}^{t'} = E_{\lambda} A_{\lambda}^t$$

- ✓ Excitonic eigenvalues/eigenvectors available
- ✓ Analysis of excitons (real/reciprocal space) using ypp post-processing code
- Lapack/scalapack libraries
All Eigenvectors/eigenvalues
`yambo -y d`
- Slepc/Petsc libraries (for large-scale sparse eigenvalue problems). Only a limited number eigev/vectors
`yambo -y s`

$$\epsilon_M(\omega) = (I\omega - H^{\text{exc}})_{t,t'}^{-1}$$

Diagonalization

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`yambo -y s`

Inversion

- ✓ Only spectrum

Lapack/scalapack
Libraries

`yambo -y i`

Recursive approach

Lanczos/Haydock

Very efficient

good MPI scalability

`yambo -y h`

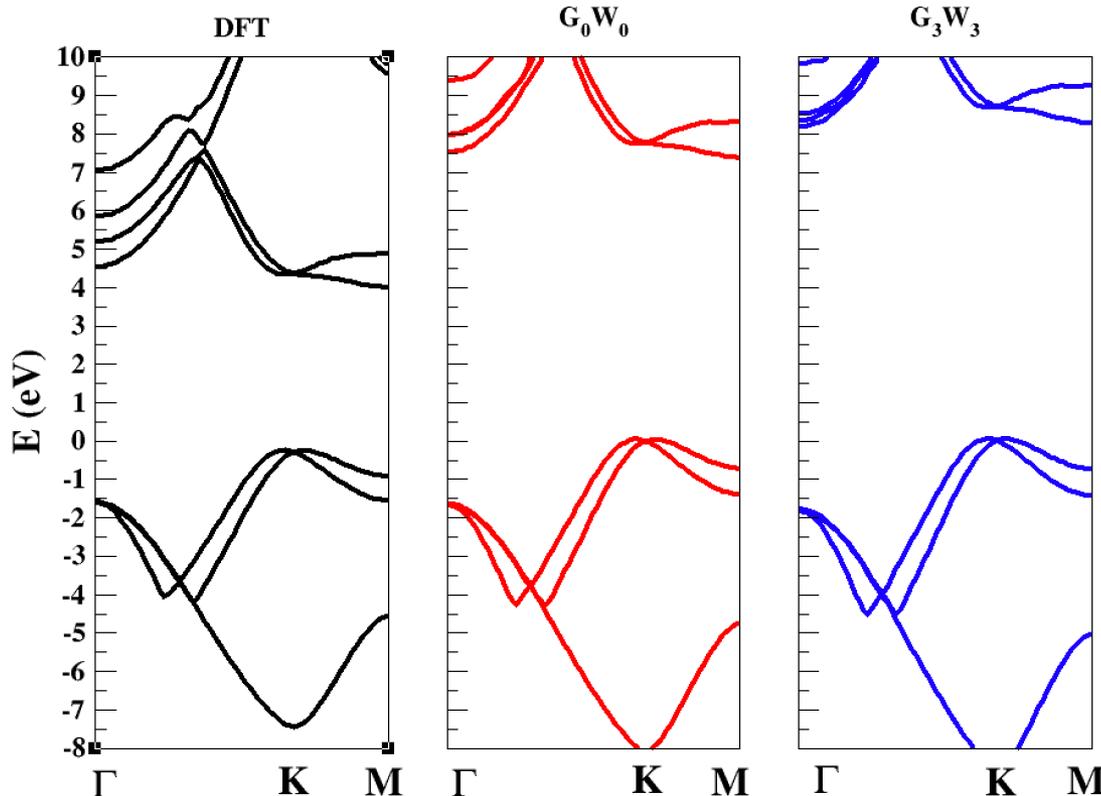
QP energies and excitons in twisted Bilayers of BN using Yambo

How do the QP bandstructure and excitons change
with different stacking and twisting angles?

QP energies and excitons in twisted Bilayers of BN using Yambo

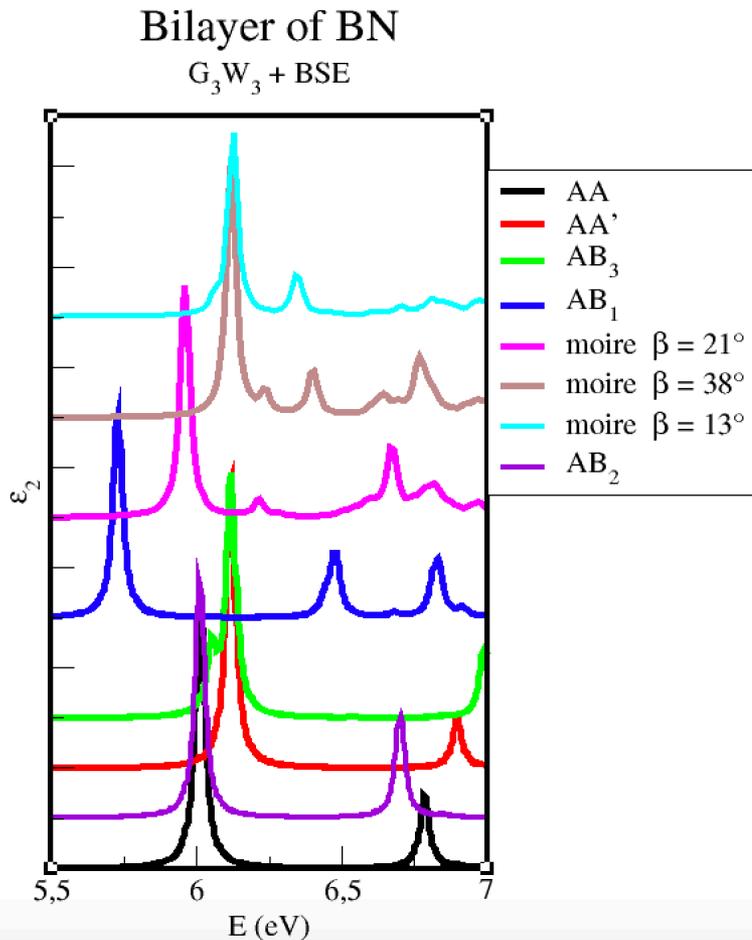
- use of iterative e-GW approach
- use of post-processing ypp code to interpolate the QP energies (ypp -s b) obtained in a $18 \times 18 \times 1$ k-grid, along high-simmetry directions

Bilayer of BN stacking AA'



QP energies and excitons in twisted Bilayers of BN using Yambo

- use of iterative approach to solve BSE
- use of post-processing ypp code to analyze excitons properties



**Largest Moire-structure
(twisted bilayer) angle = 13°
86 atoms**

H_{exc} size up to

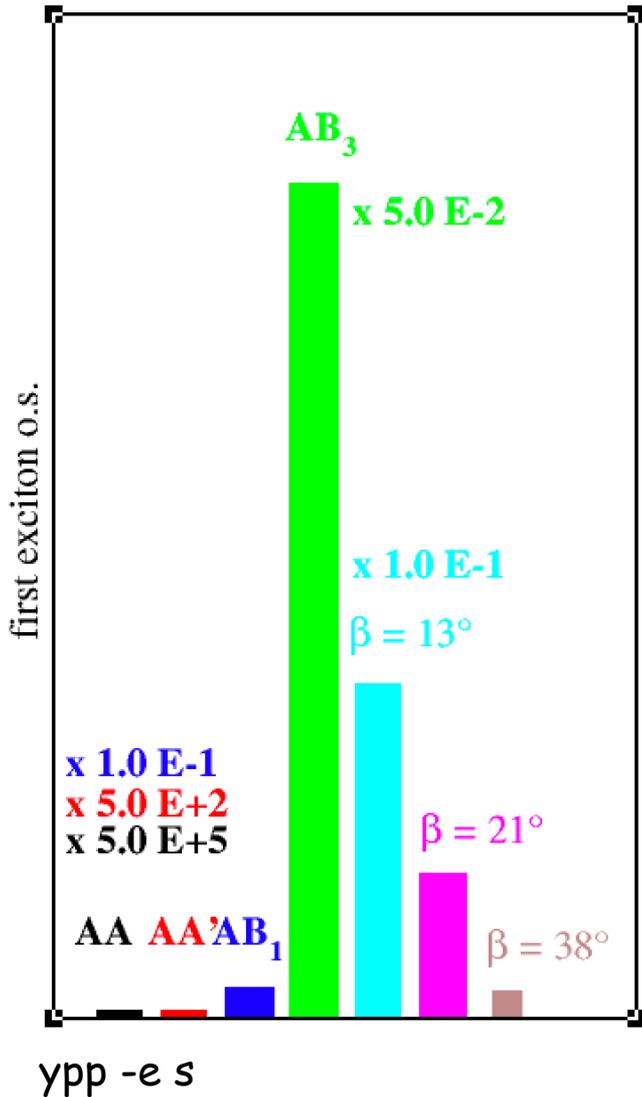
13000 × 13000
(16 s Haydock solver)

9000 × 9000
(16 mins lapack diago solver)

marconi-knl 40 MPI CPU

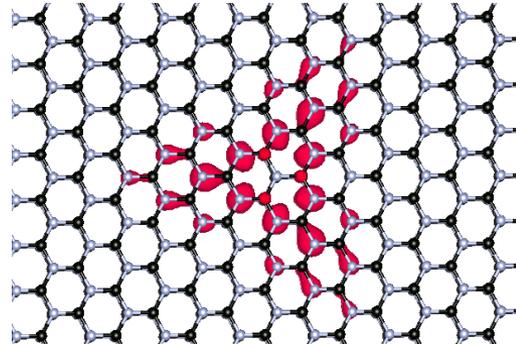
QP energies and excitons in twisted Bilayers of BN using Yambo

DARK -> BRIGHT



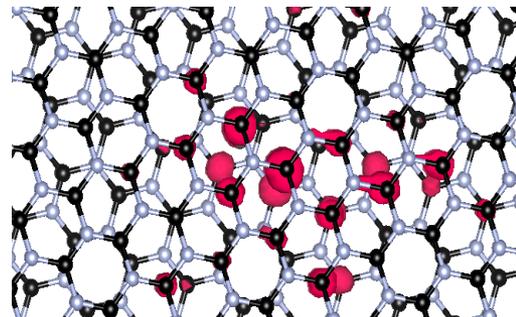
Exciton plot fixing the hole position

ypp -e w



AA' stacking

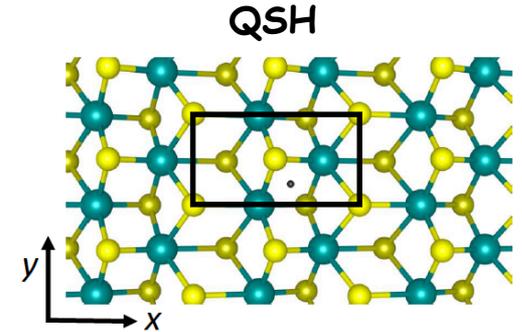
Different spatial localization



Twisted
 $\beta = 13^\circ$

QP energies and excitons in 1 ML MoS₂-T' using Yambo

Is there any excitonic instability?

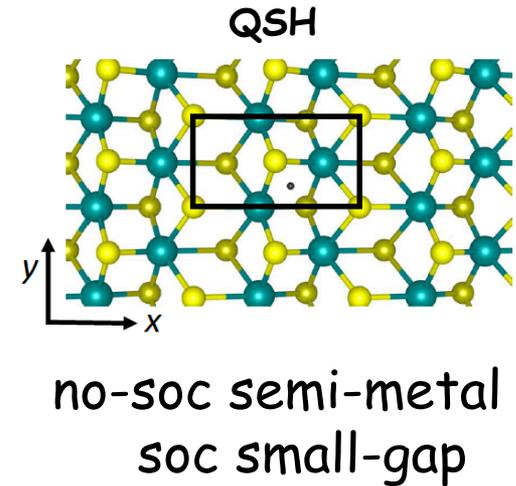


no-soc semi-metal
soc small-gap

QP energies and excitons in 1 ML MoS₂-T' using Yambo

Is there any excitonic instability?

YES



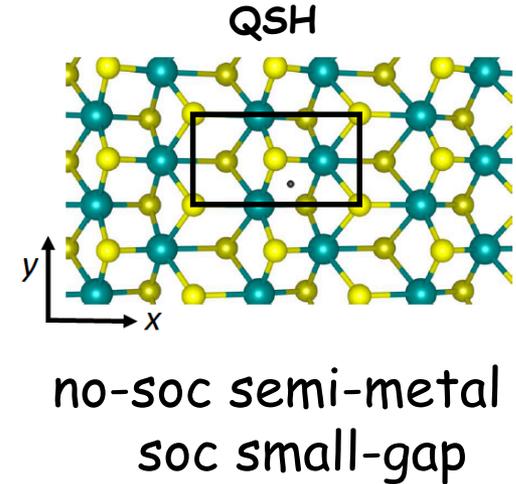
The exciton b.e exceeds 32meV the QP gap

Topological excitonic insulator (ab-initio + mean-field self-consistent model)

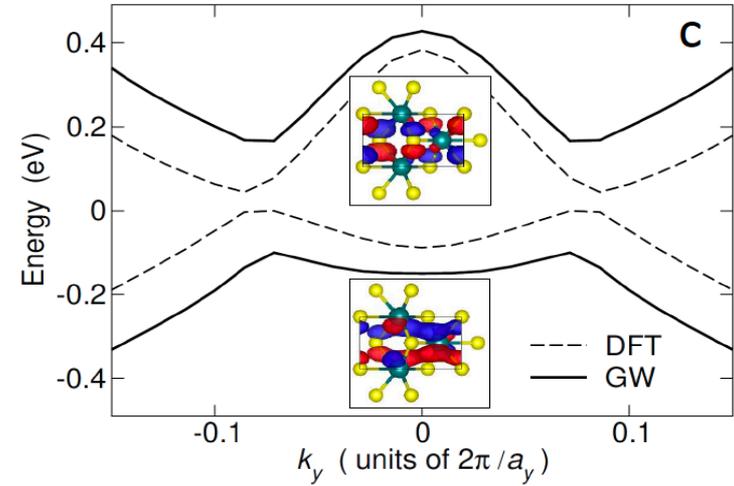
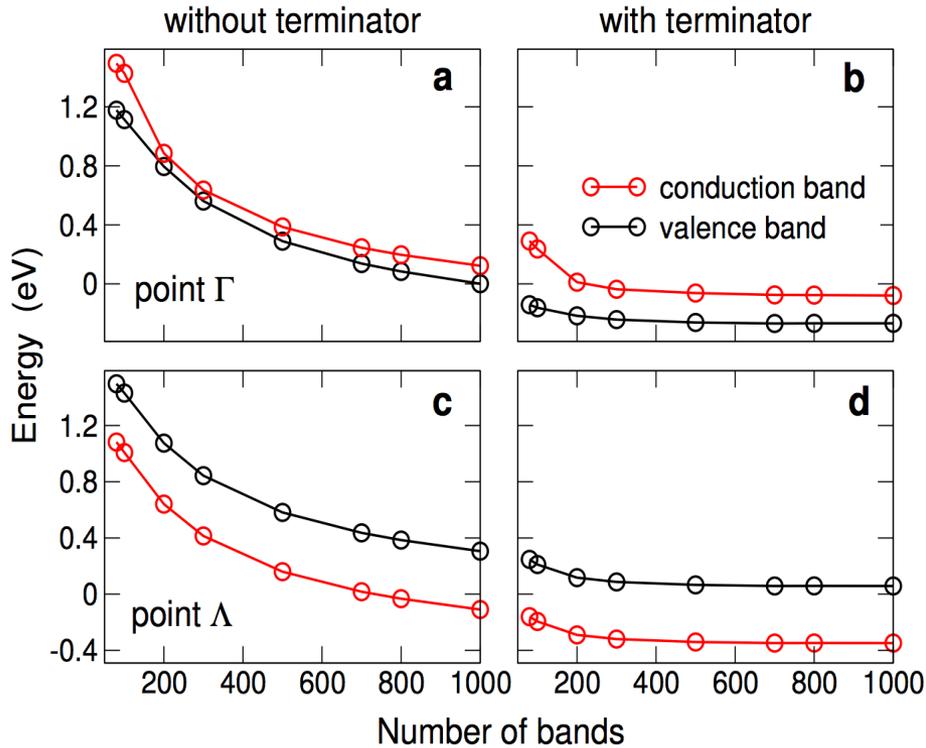
QP energies and excitons in 1 ML MoS₂-T' using Yambo

We had to:

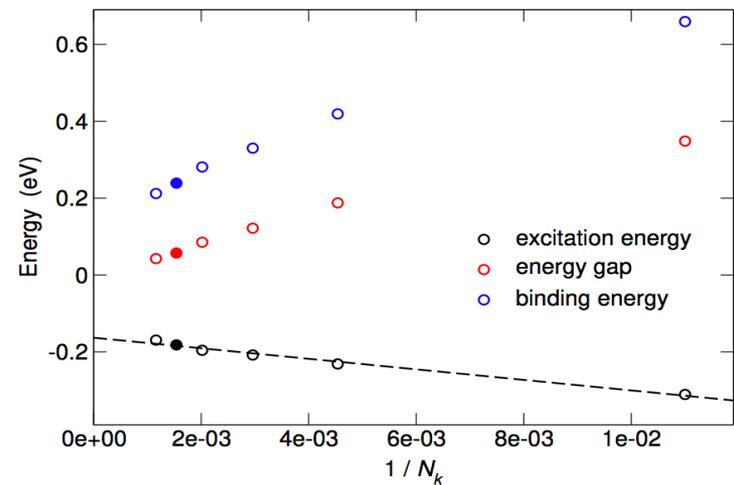
- ❑ GW-BSE (.With SOC /non collinear calculation)
- ❑ use coulomb cutoff to avoid spurious replica interactions (ws 2D cutoff implementation similar to I.Beigi PRB 73 (2006))
- ❑ use terminators to converge over empty states. (F.Bruneval ;X. Gonze PRB 78 2008)
- ❑ use very dense k-meshes for GW and BSE to reach accuracy of about 10 meV



QP energies and excitons in 1 ML MoS₂-T' using Yambo



QP gap 0.2 eV > without cutoff



GW run on Marconi-knl

**648 Q 10 Ry 300 b
X/Xo 4 mins/Q on 200 mpi CPU/16 threads**

QP energies and excitons in organic crystals using Yambo

Which is the origin of the optical anisotropy of porphyrine ZnTPP crystals if isolated molecules are isotropic ?

Localized or delocalized excitons Wannier or Frenkel or Charge-transfer?

QP energies and excitons in organic crystals using Yambo

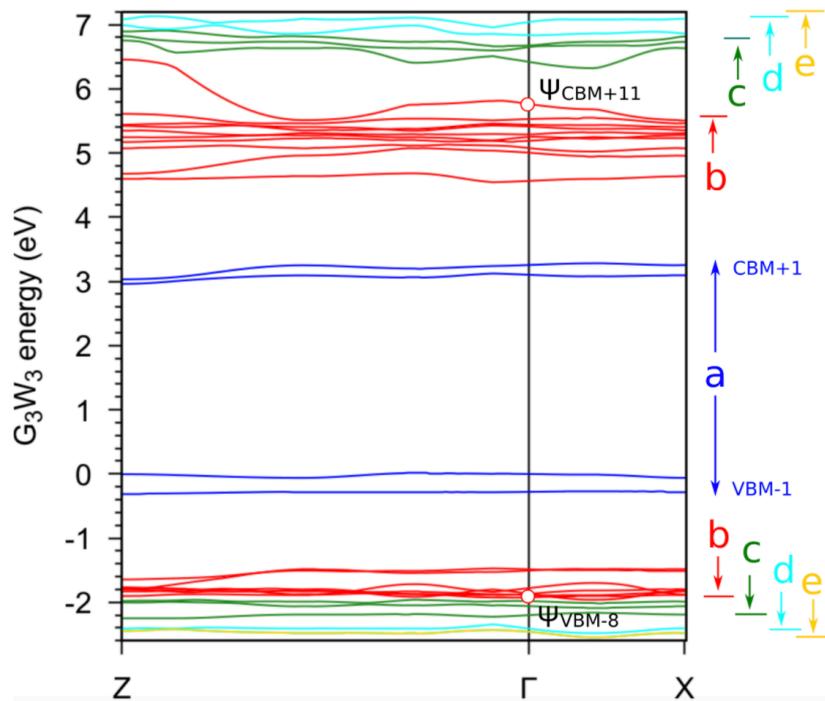
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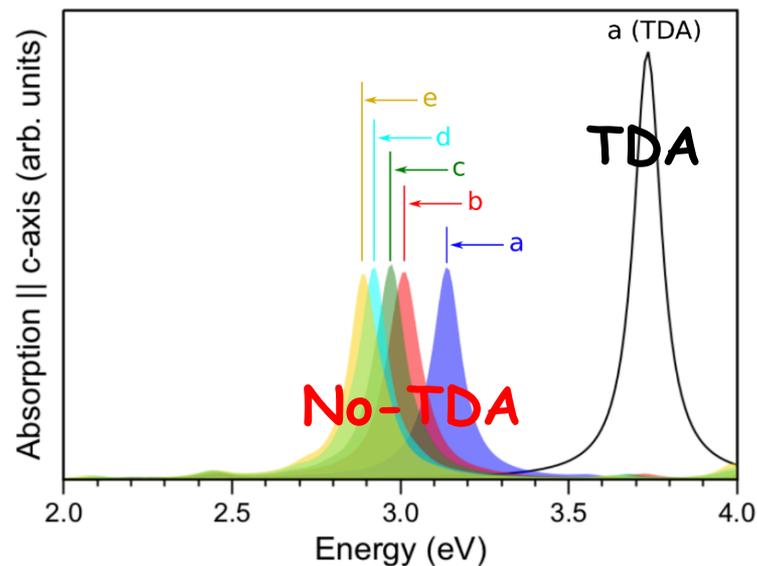
We had to

- go beyond GoWo
- go beyond TDA
- include many states in the BSE
- analyze excitons

QP energies and excitons in organic crystals using Yambo

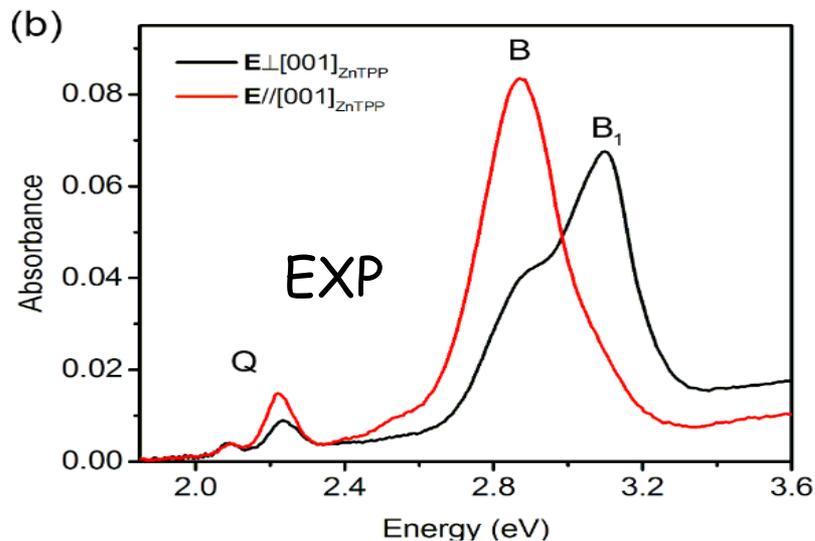


Gap at G_3W_3 level > 0.2 eV larger than Gap at G_0W_0 level



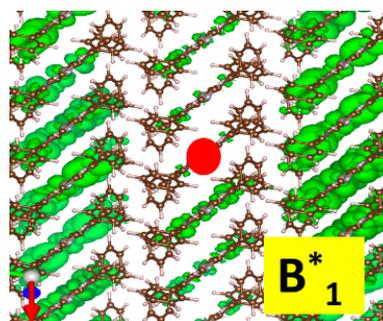
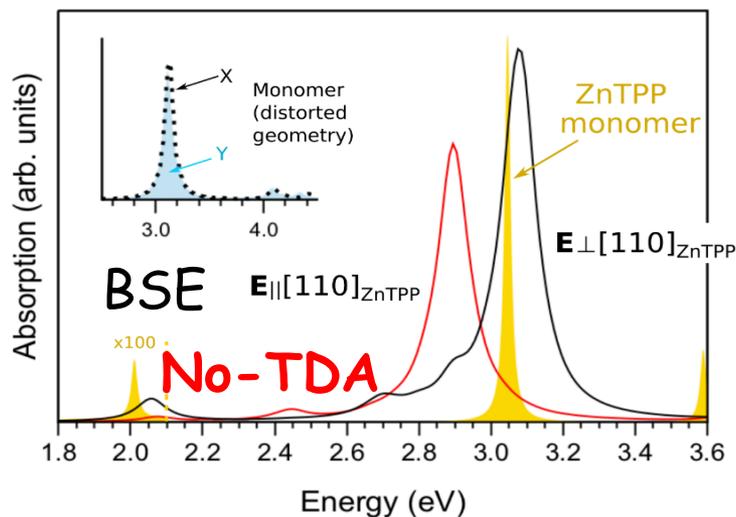
TDA does not reproduce the correct energetic position of the optical peak

QP energies and excitons in organic crystals using Yambo



1-node/36 MPI-CPU
marconi-knl

BSE 26000X26000 7 hours
Iterative-solver 1 min.



ypp -e w

Very-
delocalized
excitons

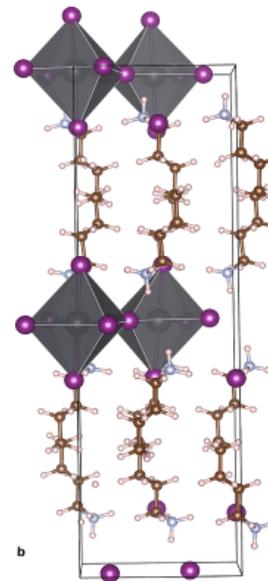
QP energies and excitons in layered hybrid halide perovskites using Yambo

Are the HSE gaps a good estimation of the QP ones in layered 2D-perovskites (isolated sheets and repeated bulk structures) such as BA_2PbI_4 ?

Bound/localized excitons or free-carriers ?



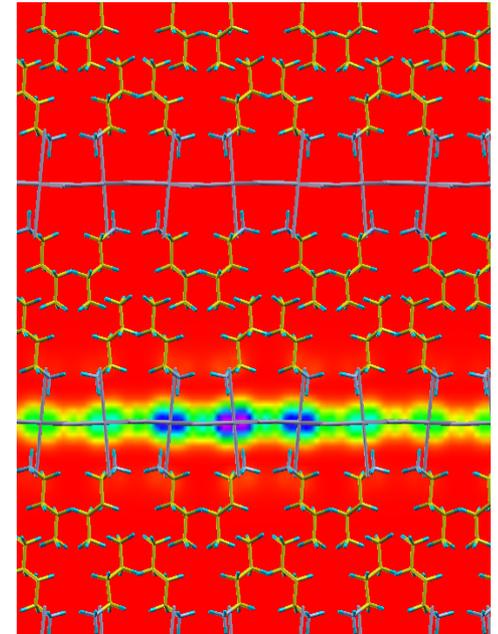
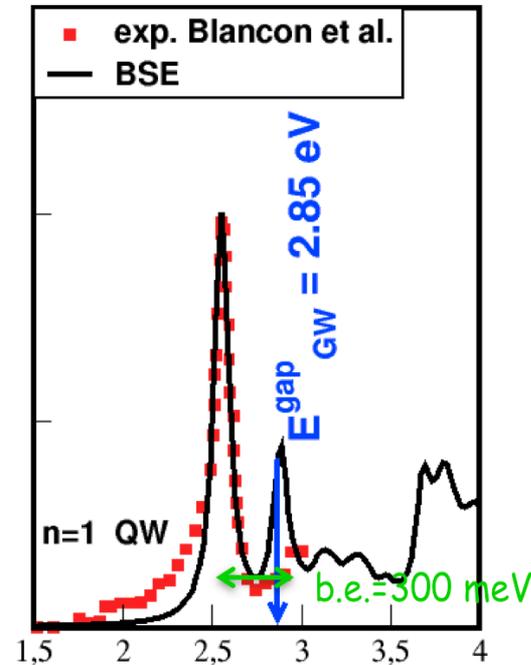
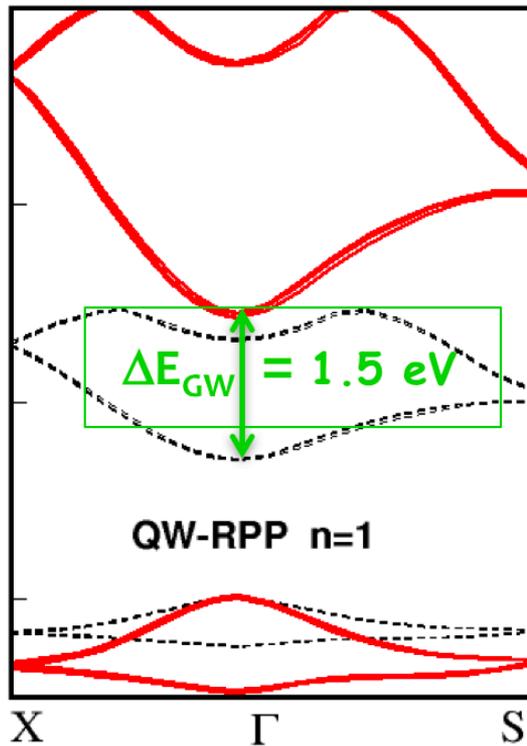
156 atoms in the unit cell



QP energies and excitons in layered hybrid halide perovskites using Yambo

HSE underestimate the QP gaps of about 1 eV

Strongly bound and very localized excitons



QP energies and excitons in layered hybrid halide perovskites using Yambo

We had to:

- include SOC in DFT, GW and BSE
- use e-GW (self-consistent GW)
- use terminators for empty bands
- use Double grid technique to solve BSE

D. Kammerlander, et al PRB 86 (12), 125203 (2013)

On marconi knl

up to 20 Q-points /2000 b
40 nodes/10 mpi-cpu/6 threads X/Xo > **3 hours/Q point**

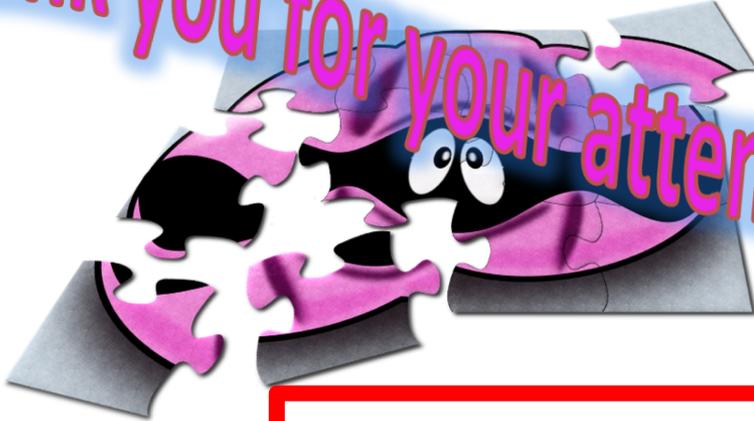
On m100:

96 atoms PEA_2SnI_4 . 25 nodes /4 mpi-cpu/ 32 threads 4 gpu
68 Q - 4000 b - X/Xo. **4.5 mins /Q point**

MAX

DRIVING THE EXASCALE TRANSITION

Thank you for your attention



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theYambo team

1. Many-body perturbation theory calculations using the yambo code
Journal of Physics: Condensed Matter 31, 325902 (2019)
2. Yambo: an ab initio tool for excited state calculations
Comp. Phys. Comm. 144, 180 (2009)

Example of Parallelism: Correlation part of self energy

$$\Sigma_{n\mathbf{k}}^c(\omega) = \langle n\mathbf{k} | \Sigma^c | n\mathbf{k} \rangle = i \sum_m \int_{BZ} \frac{d\mathbf{q}}{(2\pi)^3} \sum_{\mathbf{G}, \mathbf{G}'} \frac{4\pi}{|\mathbf{q} + \mathbf{G}|^2} \rho_{nm}(\mathbf{k}, \mathbf{q}, \mathbf{G}) \rho_{nm}^*(\mathbf{k}, \mathbf{q}, \mathbf{G}') \times \int d\omega' G_{m\mathbf{k}-\mathbf{q}}^0(\omega - \omega') \epsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega')$$

QP states (MPI qp)
G bands (MPI b)
Q transferred momenta (MPI q)
Space DoF (OMP SE_Threads)

SE_ROLES= "q qp b" # [PARALLEL] CPUs roles (q,qp,b)
 SE_CPU= "1 2 8" # [PARALLEL] CPUs for each role
 SE_Threads= 4 # [OPENMP/GW] Number of threads
 # for self-energy

num MPI tasks = 1 x 2 x 8
 num threads/MPI-tasks = 4
 Total num threads = 4 x (1 x 2 x 8)
 MPI-b best memory distribution
 MPI-qp no communication
 MPI-q leads to load unbalance
 OpenMP very efficient